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31042 U.S. PTO

Customer N . 000959
Case D cket No. UPI-007

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COMMISSIONER FOR PATENTS
Box Patent Application
Washington, D.C. 20231

"Express Mail" Mailing Label Number	EL 489 806 290 US
Date of Deposit	May 15, 2001
I hereby certify that this transmittal letter and the papers referred to as being enclosed therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Box Patent Application, Washington, DC 20231.	
Signature	<i>Deise K. Timas</i>
	Deise K. Timas
Please Print Name of Person Signing	

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Alan G. MacDiarmid, Dirk Hohnholz and Hidenori Okuzaki

For: "Spontaneous Pattern Formation of Functional Materials"

Enclosed are:

- ☒ 77 pages of Specification, 17 pages of Claims and 1 page of Abstract;
- ☒ 24 pages of Drawings (Figures 1-36);
- ☒ Unexecuted Declaration, Petition and Power of Attorney; and
- ☒ Pre-paid acknowledgment postcard.
- ☒ Applicant claims small entity status under 37 C.F.R. §1.27.

The filing fee has been calculated as shown below:

(Col. 1)		(Col. 2)	SMALL ENTITY			OTHER THAN SMALL ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	OR	RATE	FEE
BASIC FEE	////////////////////		////////	\$ 355.00	OR	////////	\$
TOTAL CLAIMS	168 - 20	= 148	x 9=	\$ 1,332.00	OR	x 18=	\$
INDEP. CLAIMS	8 - 3	= 5	x 40	\$ 200.00	OR	x 80	\$
<input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED			+135	\$ 135.00	OR	+270	\$
			TOTAL	\$2,022.00	OR	TOTAL	0

* If the difference in Col. 2 is less than zero,
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- ☒ **FILING FEES ARE NOT BEING PAID AT THIS TIME.** A duplicate copy of this sheet is enclosed.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. _____.
- ☐ Any additional filing fees required under 37 C.F.R. 1.16.
- ☐ Any patent application processing fees under 37 C.F.R. 1.17.

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- ☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 12-0080.
- ☐ Any patent application processing fees under 37 C.F.R. 1.17.
 - ☐ The issue fee set in 37 C.F.R. 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).
 - ☐ Any filing fees under 37 C.F.R. 1.16 for presentation of extra claims.
- ☒ Address all future communications (May only be completed by applicant, or attorney or agent of record) to **Peter C. Lauro, Esq. at Customer Number: 000959** whose address is:

Lahive & Cockfield, LLP
28 State Street
Boston, Massachusetts 02109

Date: **May 15, 2001**

LAHIVE & COCKFIELD, LLP
Attorneys at Law

By: 

Peter C. Lauro, Esq.
Registration No. 32,360
28 State Street
Boston, MA 02109
Telephone (617) 227-7400
Facsimile (617) 742-4214

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